

Unit IV

Nonlinear Waves, Shocks and Turbulence - An Introduction

Previously discussed:

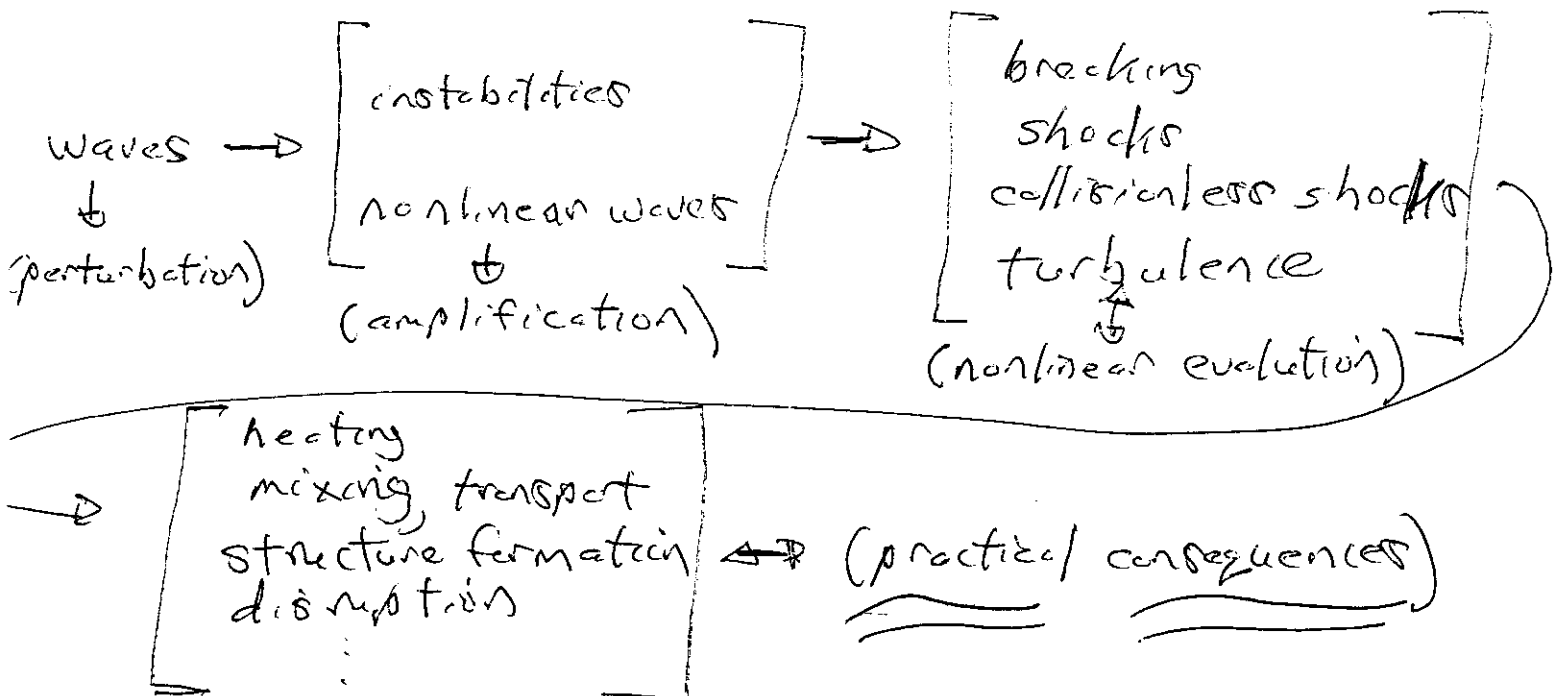
→ basic waves in MHD, i.e. structure of MHD 'stiffness matrix'

→ dW and MHD instabilities (an introduction)

Now, are concerned with evolving waves and instabilities i.e. what happens? →

- nonlinear amplification of MHD waves, wavebreaking
- shocks and collisionless shocks in MHD,
- turbulence

Flow of development is:



Proceed via :

i) Nonlinear Waves

- a) Wave Action and Eikonal Theory
- b) Wave Amplification and Breking
- c) Disparate Scale Interaction

ii) Shocks and collisionless shocks

- a) shocks in kinematic waves
- b) shocks in fluids and MHD
- c) collisionless shocks in plasmas

iii) Fluid and MHD Turbulence - An Introduction

then... \Rightarrow Applications to Laboratory and Solar Plasmas

\Rightarrow current and magnetic configurations (dW with J_0)

\Rightarrow ∇p stability of confinement devices

\Rightarrow magnetic fields and buoyancy in the sun.

→ Nonlinear Waves

Read: { ① Kulsrud 5.5, 5.6
 ② Whitham, Chapt. 11
 ③ Landau, Lifshitz Fluids Chapt.

→ have considered plane waves in uniform media
 i.e. $\underline{E} \sim \underline{E}_0 e^{i(\underline{k} \cdot \underline{x} - \omega t)}$

→ what if media non-uniform, but slowly varying

i.e. $\frac{1}{c^2} \frac{\partial^2 \hat{\rho}}{\partial t^2} = \nabla^2 \hat{\rho}$ (caustics)

with $c^2 = c_0^2 / n^2(\underline{x})$

↳ index of refraction
 (can be time dependent)

then for $\left| \frac{\nabla n}{n} \right| \ll |k|$, can write

$$\hat{\rho} = \rho_0 e^{i\phi(\underline{x}, t)}$$

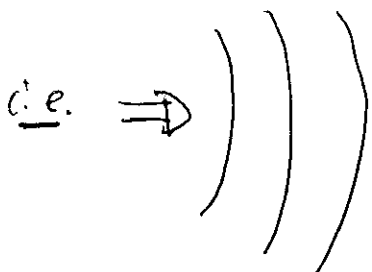
where $\phi \sim O(1/\epsilon)$

→ phase contains fastest variation

then have:

$$\boxed{\frac{n(\underline{x})^2}{c_0^2} \left(\frac{\partial \phi}{\partial t} \right)^2 = (\nabla \phi)^2}$$

→ eikonal equation
 for phase front function ϕ



iso- ϕ
 surfaces

⇒ $\underline{\nabla} \phi$ ⇒ direction of propagation

clear analogy with plane waves \Rightarrow

$$\underline{\nabla} \phi \leftrightarrow \underline{k}$$

$$-\frac{\partial \phi}{\partial t} \leftrightarrow \omega$$

[if Λ time independent,
 $\omega = \text{const.}$ for linear wave]

so eikonal equation is:

$$\frac{n(x)^2 \omega^2}{c^2} = k^2$$

so, have for medium
with no explicit time dependence,

\hookrightarrow local dispersion
relation

$$d\phi = \frac{\partial \phi}{\partial x} \cdot dx + \frac{\partial \phi}{\partial t} dt$$

$$= \underline{k}(x) \cdot d\underline{x} - \omega(\underline{k}, x) dt$$

\hookrightarrow via Eikonal Equation

$$\therefore \frac{d\phi}{dt} = \underline{k}(x) \cdot \frac{d\underline{x}}{dt} - \omega(\underline{k}, x)$$

\Rightarrow

$$\Phi = \int dt \left[\underline{k}(x) \cdot \underline{\dot{x}} - \omega \right]$$

but recall:

$$\underbrace{\mathcal{S}}_{\text{action}} = \int dt \left(\underbrace{p\dot{q} - H}_{\text{Hamiltonian}} \right) \quad \text{and} \quad \delta\mathcal{S} = 0 \Rightarrow \text{equations of motion}$$

can immediately note analogy:

Hamiltonian Dynamics	Ray/Eikonal Theory
$\underline{p} \rightarrow \text{momentum}$ ($= \partial L / \partial \dot{q}$)	$\underline{k} \quad (= \nabla \phi)$
$\underline{q} \rightarrow \text{gen. coord}$	$\underline{x} \quad (\text{phase front position})$
$H \rightarrow \text{Hamiltonian}$	$\omega \quad (\text{frequency})$
$\phi \rightarrow \text{phase function}$	$\mathcal{S} \rightarrow \text{action}$

and recall Hamilton-Jacobi Equation:

$$\frac{\partial \mathcal{S}}{\partial t} + H\left(q, \frac{\partial \mathcal{S}}{\partial q}\right) = 0$$

\Rightarrow phase evolution equation:

$$\frac{\partial \phi}{\partial t} + \omega(\underline{k}, \underline{x}) = 0$$

$$\underline{k} = \underline{\nabla} \phi$$

exact isomorphism

∴ just as advance Hamiltonian variables
in time via Hamilton's Eqn. of Motion,
i.e.

$$\frac{d\underline{p}}{dt} = -\frac{\partial H}{\partial \underline{q}}, \quad \frac{d\underline{q}}{dt} = \frac{\partial H}{\partial \underline{p}}$$

then can advance \underline{k} and \underline{x} analogously
by:

Ray
Eikonal
Equations

$$\frac{d\underline{k}}{dt} = -\frac{\partial \omega}{\partial \underline{x}}; \quad \frac{d\underline{x}}{dt} = \frac{\partial \omega}{\partial \underline{k}} = \underline{v}_{gr}$$

Snell's Law

group velocity

$\underline{k} = \underline{\nabla} \phi \Rightarrow$ phase front
orientation

$\underline{x} \rightarrow$ position of
phase front.

check: IF analogy is valid, should be able
to derive eikonal equations from $\partial \Phi = 0$

$$\underline{\Phi} = \int dt \left[\underline{k} \cdot \dot{\underline{x}} - \omega(\underline{k}, \underline{x}) \right]$$

$$\delta \Phi = \int dt \left[\underline{h} \cdot \delta \dot{\underline{x}} + \delta \underline{h} \cdot \dot{\underline{x}} - \left(\frac{\partial \omega}{\partial \underline{x}} \cdot \delta \underline{x} + \frac{\partial \omega}{\partial \underline{h}} \cdot \delta \underline{h} \right) \right]$$

$$\delta \underline{x} = \delta \underline{h} = 0 \quad \text{at end-points}$$

\Rightarrow

$$\delta \Phi = \int dt \left[\left(\underline{h} \cdot \frac{d}{dt} \delta \underline{x} - \frac{\partial \omega}{\partial \underline{x}} \cdot \delta \underline{x} \right) + \left(\dot{\underline{x}} - \frac{\partial \omega}{\partial \underline{h}} \right) \cdot \delta \underline{h} \right]$$

ibp

$$\delta \Phi = \left. \underline{h} \cdot \delta \underline{x} \right|_{t_1}^{t_2} + \int dt \left[\left(\frac{d\underline{h}}{dt} + \frac{\partial \omega}{\partial \underline{x}} \right) \cdot \delta \underline{x} + \left(\dot{\underline{x}} - \frac{\partial \omega}{\partial \underline{h}} \right) \cdot \delta \underline{h} \right]$$

\Rightarrow

$$\delta \underline{x}, \delta \underline{h} \neq 0 \quad \Rightarrow$$

$$\frac{d\underline{h}}{dt} = - \frac{\partial \omega}{\partial \underline{x}} \quad , \quad \frac{d\underline{x}}{dt} = \frac{\partial \omega}{\partial \underline{h}}$$

so \rightarrow eikonal equations are Hamiltonian equations

\rightarrow eikonal equations extremize $\bar{\Phi}$.

\rightarrow eikonal equations satisfy Liouville's Theorem

the "flow" in phase space $\underline{k}, \underline{x}$ is incompressible

$$\frac{\partial}{\partial \underline{k}} \cdot \frac{d\underline{k}}{dt} + \frac{\partial}{\partial \underline{x}} \cdot \frac{d\underline{x}}{dt} = -\frac{\partial^2 \omega}{\partial \underline{k} \partial \underline{x}} + \frac{\partial^2 \omega}{\partial \underline{x} \partial \underline{k}} = 0$$

\therefore so if define wave density $\rho(\underline{k}, \underline{x}, t)$

then
$$\frac{\partial \rho}{\partial t} + \underline{D} \cdot (\underline{V} \rho) = 0$$

but
$$\underline{D} \cdot \underline{V} = 0 \quad \underline{V} = \left[\frac{d\underline{x}}{dt}, \frac{d\underline{k}}{dt} \right]$$

\Rightarrow
$$\frac{\partial \rho}{\partial t} + \underline{V} \cdot \underline{D} \rho = 0$$

$$\frac{\partial \rho}{\partial t} + \underline{v}_{gr} \cdot \frac{\partial \rho}{\partial \underline{x}} - \frac{\partial \omega}{\partial \underline{x}} \cdot \frac{\partial \rho}{\partial \underline{k}} = 0$$

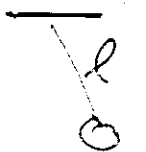
\Rightarrow Vlasov-like equation for evolution of ρ

but... what is ρ ?

\rightarrow physical argument:

have $\frac{d\rho}{dt} = 0 \rightarrow$ conservation/invariance principle

Now, recall for oscillator with slowly varying parameters



$$l = l(t)$$

$$\frac{1}{l(t)} \frac{dl}{dt} \ll \omega = \sqrt{g/l}$$

then $\frac{d}{dt} (E/\omega) = 0$

$E/\omega \equiv$ Action (dims energy \times time)

$$E = 2 \cdot \frac{1}{2} m \omega^2 l^2 \Theta^2 = m g l \Theta^2$$

$$\approx \frac{E}{\omega} = m\sqrt{g} l^{3/2} \Omega^2$$

$$\Rightarrow d(E/\omega) = 0 \Rightarrow \frac{3}{2} l^{1/2} \frac{dl}{dt} + l^{3/2} \frac{d\Omega^2}{dt}$$

$$d\Omega^2/dt = -\frac{3}{2} \frac{1}{l} \frac{dl}{dt} \rightarrow l \text{ shortened } (\dot{l} < 0) \text{ amplitude increased}$$

$$\rightarrow l \text{ lengthened, amplitude decreased.}$$

Now, for waves argue analogue of action
swave action density $E/\omega = N$

E = energy density
 N = action density

so wave kinetic equation is:

$$\frac{\partial N}{\partial t} + v_{gr} \cdot \frac{\partial N}{\partial x} - \frac{\partial \omega}{\partial x} \cdot \frac{\partial N}{\partial k} = 0$$

and analogy with Vlasov equation is evident, i.e.

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + \frac{q}{m} E \frac{\partial f}{\partial v} = 0.$$

→ Variational Theory (Whitham)

Now, consider system, like ideal MHD, which can be described in terms of a displacement $\underline{\xi}$ such that

$$\underline{\xi} = \text{Re} \left\{ A e^{i\phi} + A^* e^{-i\phi} \right\}$$

then relevant wave equation can be derived from:

$$\delta L = \delta \int dt \int dx \mathcal{L}(\underline{\xi}) = 0$$

↳ Lagrangian density

Now, if write Lagrangian density in terms phase ϕ and amplitude a , have:

$$L = \int dt \int dx \mathcal{L}(\omega, \underline{k}, a)$$

where $\omega = -\dot{\phi} = \partial\phi/\partial t$

$$\underline{k} = \phi_{\underline{x}} = \nabla\phi$$

→ this neglects all corrections to eikonal theory (WKB) i.e. all corrections to \underline{k} , ω , amplitude, etc.

→ L , above, corresponds to period averaged Lagrangian - ϕ indeterminate to constant

$$\Rightarrow \delta L = \delta \int dt \int dx \mathcal{L}(-\dot{\phi}, \phi_x, a)$$

so have 2 variational equations:

$$1) \delta L / \delta a = 0$$

$$2) \delta L / \delta \phi = 0$$

Now, within scope of linear theory

$$\mathcal{L} = G(\omega, k) a^2$$

i.e. for MHD, can write:

$$\mathcal{L} = \frac{1}{2} \rho \underline{\underline{\xi}}^2 - \frac{1}{2} \rho \left[D(\underline{\underline{y}}, \underline{\underline{x}}, t) \right]^2 \underline{\underline{\xi}}^2$$

and if $\underline{\underline{\xi}} = \underline{\underline{A}} e^{+i\phi} + \underline{\underline{A}}^* e^{-i\phi}$ $\left\{ \begin{array}{l} \text{eikonal form of} \\ \text{potential energy} \\ \text{(from stiffness matrix)} \end{array} \right.$

$$\mathcal{L} = \frac{1}{2} \rho \left(\frac{\partial \phi}{\partial t} \right)^2 |\underline{\underline{A}}|^2 - \frac{1}{2} \left[D(\underline{\underline{\partial}} \phi, \underline{\underline{x}}, t) \right]^2 |\underline{\underline{A}}|^2$$

is concrete form of avg. Lagrangian.

$$\infty \quad G(\omega, k) = \frac{1}{2} \rho \left[\left(\frac{\partial \phi}{\partial t} \right)^2 - \left[D(\nabla \phi, \lambda, t) \right] \right] |\lambda|^2$$

Now, 1) $\Rightarrow \frac{\partial L}{\partial a} = 0$

$$\Rightarrow \boxed{G(\omega, k) = 0}$$

but: $G = \omega^2 - \left[D(k, \lambda, t) \right]^2 = 0$

is just dispersion relation!

2) $\Rightarrow dL/d\phi = 0$

$$\frac{\delta}{\delta} \quad \delta L = \int dt \int dx \left\{ \frac{\partial \mathcal{L}}{\partial(-\phi_t)} \delta(-\phi_t) + \frac{\partial \mathcal{L}}{\partial(\phi_x)} \delta\phi_x \right\}$$

$$= \int dt \int dx \left\{ \frac{\partial}{\partial t} \left(\frac{\partial \mathcal{L}}{\partial(-\phi_t)} \right) - \frac{\partial}{\partial x} \cdot \left(\frac{\partial \mathcal{L}}{\partial \phi_x} \right) \right\} \delta \phi$$

$\Rightarrow \delta L = 0 \Rightarrow$

$$\boxed{\frac{\partial}{\partial t} \left(\frac{\partial \mathcal{L}}{\partial \omega} \right) - \nabla \cdot \left(\frac{\partial \mathcal{L}}{\partial \underline{k}} \right) = 0}$$

so have: $\mathcal{L} = \mathcal{E}(\omega, \underline{k}) a^2$

$$\Rightarrow \mathcal{E}(\omega, \underline{k}) = 0$$

$$\frac{\partial}{\partial t} \left(\frac{\partial \mathcal{L}}{\partial \omega} \right) - \nabla \cdot \left(\frac{\partial \mathcal{L}}{\partial \underline{k}} \right) = 0$$

Now $\mathcal{E}(\omega, \underline{k}) = 0$

$$\Rightarrow \frac{\partial \mathcal{E}}{\partial \omega} d\omega + \frac{\partial \mathcal{E}}{\partial \underline{k}} d\underline{k} = 0$$

$$\therefore \underline{v}_{gr} = \frac{d\omega}{d\underline{k}} = - \frac{\partial \mathcal{E} / \partial \underline{k}}{\partial \mathcal{E} / \partial \omega}$$

$$\left(\begin{array}{l} \text{d.e. } \mathcal{E}(\underline{k}, \omega) = 0 \\ d\mathcal{E} = 0 = \frac{\partial \mathcal{E}}{\partial \omega} d\omega + \frac{\partial \mathcal{E}}{\partial \underline{k}} \cdot d\underline{k} \end{array} \right)$$

$$\Rightarrow \frac{\partial}{\partial t} \left(\frac{\partial \mathcal{E}}{\partial \omega} a^2 \right) + \nabla \cdot \left[- \frac{\partial \mathcal{E} / \partial \underline{k}}{\partial \mathcal{E} / \partial \omega} \frac{\partial \mathcal{E}}{\partial \omega} a^2 \right] = 0$$

and so $N = \frac{\partial \mathcal{E}}{\partial \omega} a^2$

$$\frac{\partial N}{\partial t} + \nabla \cdot (\underline{v}_{gr} N) = 0$$

(N not yet action ...)

→ Now, can further note for G invariant to time trans.

⇒ energy is conserved.

so, \exists energy conservation equation (from Noether's Thm \leftrightarrow symmetry).

Now, note have:

can proceed by working with:

$$\frac{\partial \mathcal{L}}{\partial a} = 0 \quad \Rightarrow \quad G(\omega, \underline{k}) = 0$$

$$\frac{\partial}{\partial t} \left(\frac{\partial \mathcal{L}}{\partial \omega} \right) - \underline{\nabla} \cdot \left(\frac{\partial \mathcal{L}}{\partial \underline{k}} \right) = 0$$

$$\frac{\partial \underline{k}}{\partial t} = - \frac{\partial \omega}{\partial \underline{x}}$$

$$\left(\frac{\partial}{\partial t} \frac{\partial \phi}{\partial \underline{x}} = \frac{\partial}{\partial \underline{x}} \frac{\partial \phi}{\partial t} \right)$$

$$\underline{\nabla} \cdot \underline{k} = 0$$

$$(\underline{k} = \underline{\nabla} \phi)$$

$$\Rightarrow \text{have } \frac{\partial}{\partial t} (\omega \frac{\partial \mathcal{L}}{\partial \omega} - \mathcal{L}) + \underline{\nabla} \cdot \left(-\omega \frac{\partial \mathcal{L}}{\partial \underline{k}} \right) = 0$$

$$\text{check: } \omega \frac{\partial \mathcal{L}}{\partial \omega} - \frac{\partial \mathcal{L}}{\partial t} + \underline{\nabla} \cdot \left(-\omega \frac{\partial \mathcal{L}}{\partial \underline{k}} \right) + \frac{\partial}{\partial \omega} \frac{\partial \omega}{\partial t} = 0$$

$$\begin{aligned}
 &= \frac{\partial \mathcal{L}}{\partial \omega} \frac{\partial \omega}{\partial t} + \omega \nabla \cdot \left(\frac{\partial \mathcal{F}}{\partial \underline{k}} \right) - \nabla \cdot \left(\omega \frac{\partial \mathcal{F}}{\partial \underline{k}} \right) - \frac{\partial \mathcal{F}}{\partial t} \\
 &= \cancel{\omega \nabla \cdot \left(\frac{\partial \mathcal{F}}{\partial \underline{k}} \right)} - \cancel{\omega \nabla \cdot \left(\frac{\partial \mathcal{F}}{\partial \underline{k}} \right)} - \frac{\partial \mathcal{F}}{\partial \underline{k}} \cdot \nabla \omega - \frac{\partial \mathcal{F}}{\partial t} + \frac{\partial \mathcal{F}}{\partial \omega} \frac{\partial \omega}{\partial t} \\
 &= + \frac{\partial \mathcal{F}}{\partial \underline{k}} \cdot \frac{\partial \underline{k}}{\partial t} + \frac{\partial \mathcal{F}}{\partial \omega} \frac{\partial \omega}{\partial t} - \frac{\partial \mathcal{F}}{\partial t} \\
 &= 0 \quad \checkmark
 \end{aligned}$$

constructed form of energy eqn:

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$$\frac{\partial}{\partial t} \left(\omega \frac{\partial \mathcal{F}}{\partial \omega} - \mathcal{F} \right) + \nabla \cdot \left(-\omega \frac{\partial \mathcal{F}}{\partial \underline{k}} \right) = 0$$

but $\mathcal{L} = 0$, so energy density of wave is $(\mathcal{E}(\omega, \underline{k}) = 0)$

$$\boxed{\mathcal{E} = \omega \frac{\partial \mathcal{F}}{\partial \omega}}$$

above states energy conservation

$$\Rightarrow \boxed{\frac{\partial \mathcal{F}}{\partial \omega} = \frac{\mathcal{E}}{\omega} \equiv \text{Action density!}}$$

now N is action density!

$$\frac{\partial}{\partial t} \left(\frac{\partial \mathcal{E}}{\partial \omega} a^2 \right) + \underline{\nabla} \cdot \left[\begin{array}{c} -\frac{\partial \mathcal{E}/\partial \hbar}{\partial \mathcal{E}/\partial \omega} \frac{\partial \mathcal{E}}{\partial \omega} a^2 \end{array} \right] = 0$$

$$\Rightarrow \frac{\partial N}{\partial t} + \underline{\nabla} \cdot \left[\underline{v}_{gr} N \right] = 0$$

$$\omega N = \Sigma$$

Now note if write Vlasov-like equation:

$$\frac{\partial N}{\partial t} + \underline{v}_{gr} \cdot \underline{\nabla} N - \frac{\partial \omega}{\partial x} \cdot \frac{\partial N}{\partial \hbar} = 0$$

Liouville \Rightarrow

$$\frac{\partial N(\underline{h}, x, t)}{\partial t} + \underline{\nabla} \cdot \left[\underline{v}_{gr} N \right] + \frac{\partial}{\partial \underline{h}} \cdot \left[\begin{array}{c} -\frac{\partial \omega}{\partial x} N \end{array} \right] = 0$$

and $\int \frac{d\underline{h}}{N}$, with assumption of narrow spread in \underline{h}

$$\Rightarrow \frac{\partial N(\underline{x}, t)}{\partial t} + \underline{\nabla} \cdot \left[\underline{v}_{gr} N \right] = 0$$

Recall:

→ Hamiltonian structure of eikonal theory, etc. ⇒

$$\frac{\partial \rho(\underline{k}, \underline{x}, t)}{\partial t} + \underline{v}_{gr} \cdot \underline{\nabla} \rho(\underline{k}, \underline{x}, t) - \frac{\partial \omega}{\partial \underline{x}} \cdot \underline{\nabla}_{\underline{k}} \rho(\underline{k}, \underline{x}, t) = 0$$

→ Physical arguments suggest $\rho = \frac{\underline{\epsilon}}{\omega} = \frac{N}{\omega}$ wave action density

→ Variational Approach

$$S = \int dt \int d^3x \mathcal{L}$$

$$\mathcal{L} = G(\omega, \underline{k}) a^2$$

$$\delta S = 0$$

$$\omega = -\partial \phi / \partial t = -\phi_t$$

$$\underline{k} = \underline{\nabla} \phi = \phi_{\underline{x}}$$

but two parameters varied $\begin{cases} a \\ \phi \end{cases}$

$$\delta S / \delta a = 0 \Rightarrow G(\omega, \underline{k}) = 0 \rightarrow \text{dispersion relation}$$

$$\delta S / \delta \phi = 0 \Rightarrow \frac{\partial}{\partial t} \left(\frac{\partial \mathcal{L}}{\partial \omega} \right) - \underline{\nabla}_{\underline{x}} \cdot \left(\frac{\partial \mathcal{L}}{\partial \underline{k}} \right) = 0$$

$$\Rightarrow \frac{\partial}{\partial t} \left(\frac{\partial G a^2}{\partial \omega} \right) - \underline{\nabla}_{\underline{x}} \cdot \left(\frac{\partial G a^2}{\partial \underline{k}} \right) = 0$$

and time translation symmetry and $\mathcal{E} = 0 \Rightarrow$

$$\underline{\underline{\Sigma}} = \omega \frac{\partial \underline{\underline{G}}}{\partial \omega} a^2 \quad \Rightarrow \quad N = \frac{\underline{\underline{\Sigma}}}{\omega} = \frac{\partial \underline{\underline{G}}}{\partial \omega} a^2$$

and $\frac{\partial \underline{\underline{G}}}{\partial \underline{\underline{k}}} a^2 = \underline{\underline{v}}_{gr} N$

→ Helpful Reminder:

Recall, for electrostatic plasma waves

if $\epsilon(\omega, \underline{\underline{k}}) = 0 \quad \Rightarrow$ dispersion relation

then $\underline{\underline{\Sigma}}_k = \frac{\partial}{\partial \omega} (\omega \epsilon) \Big|_{\omega_k} \frac{|E_k|^2}{8\pi}$

$$= \omega_k \frac{\partial \epsilon}{\partial \omega} \Big|_{\omega_k} \frac{|E_k|^2}{8\pi} \quad \rightarrow \text{wave energy density}$$

$$\therefore N_k = \frac{\partial \epsilon}{\partial \omega} \Big|_{\omega_k} \frac{|E_k|^2}{8\pi}$$

and $\underline{\underline{\rho}}_k = - \frac{\partial \epsilon}{\partial \underline{\underline{u}}} \Big|_{\omega_k} \frac{|E_k|^2}{8\pi} \quad \rightarrow \text{wave energy density flux}$

$$= \underline{\underline{v}}_{gr} N_k$$

since $\epsilon(h, \omega) = 0$, so along rays

$$d\epsilon = d\omega \frac{\partial \epsilon}{\partial \omega} + dh \cdot \frac{\partial \epsilon}{\partial h} = 0$$

$$d\omega/dh = - (\partial \epsilon / \partial h) / (\partial \epsilon / \partial \omega)$$

etc.

so have Vlasov-like eqn. in $\underline{x}, \underline{k}$ phase space

$$\frac{\partial N}{\partial t} + \underline{v}_g \cdot \frac{\partial N}{\partial \underline{x}} - \frac{\partial \omega}{\partial \underline{x}} \cdot \frac{\partial N}{\partial \underline{k}} = 0$$

and continuity-type eqn. in \underline{x} space:

$$\frac{\partial N}{\partial t} + \nabla \cdot [\underline{v}_g N] = 0$$

Observe:

- order of derivatives matters, but Liouville helps
- continuity-type eqn. for packets
- useful to note that total derivative of \underline{k} , following packet

$$\frac{d\underline{k}}{dt} = \frac{\partial \underline{k}}{\partial t} + \underline{v}_g \cdot \frac{\partial \underline{k}}{\partial \underline{x}}$$

$$= - \left(\frac{\partial \omega}{\partial \underline{x}} \right) + \underline{v}_g \cdot \frac{\partial \underline{k}}{\partial \underline{x}}$$

if $\omega = \omega(\underline{y}, \underline{x}, t)$
from $\epsilon = 0$

$$= - \frac{\partial \omega}{\partial \underline{k}} \cdot \frac{\partial \underline{k}}{\partial \underline{x}} - \frac{\partial \omega}{\partial \underline{x}} + \underline{v}_g \cdot \frac{\partial \underline{k}}{\partial \underline{x}} = - \frac{\partial \omega}{\partial \underline{x}}$$

$$\frac{dh}{dt} = - \left(\frac{\partial \omega}{\partial x} \right)_h \Rightarrow \text{no conflict with } \frac{\partial h}{\partial t} = - \frac{\partial \omega}{\partial x}$$

→ Now, if system independent of time, have:

$$\partial \omega / \partial t = 0$$

$$\begin{aligned} \text{so } \frac{d\omega}{dt} &= \frac{\partial \omega}{\partial t} + \frac{\partial \omega}{\partial h} \frac{dh}{dt} + \frac{\partial \omega}{\partial x} \frac{dx}{dt} \\ &= - \frac{\partial^2 \omega}{\partial k \partial x} + \frac{\partial^2 \omega}{\partial k \partial x} = 0 \quad \checkmark \end{aligned}$$

$$\frac{dN}{dt} \Big|_{\text{rays}} = 0 \Rightarrow \frac{d}{dt} \left[\frac{\Sigma}{\omega} \right] \Big|_{\text{rays}} = 0$$

$$\Rightarrow \frac{1}{\omega} \frac{d\Sigma}{dt} \Big|_{\text{rays}} - \frac{\Sigma}{\omega^2} \frac{d\omega}{dt} \Big|_{\text{rays}} = 0$$

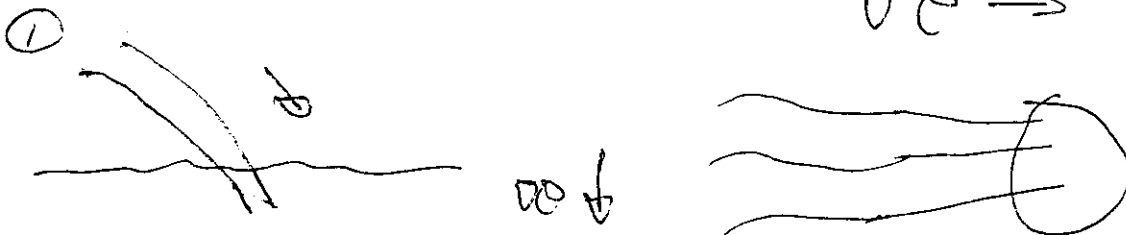
$$\Rightarrow \frac{\partial \Sigma}{\partial t} + \underline{v}_g \cdot \underline{\nabla} \Sigma - \frac{\partial \omega}{\partial x} \cdot \underline{\nabla}_h \Sigma = 0$$

and Liouville and integrate over $h \Rightarrow$

$$\frac{d\varepsilon}{dt} = \frac{\partial \varepsilon}{\partial t} + \nabla \cdot [V_{gr} \varepsilon] = 0$$

↓
applies to conservative case.

Applications



Alfvén wave packet incident on region with density increasing, field fixed.

c.e.

$$\nabla \cdot (V_{gr} \varepsilon) = 0$$

$$\underline{B} = B \underline{z}$$

$$\frac{\partial}{\partial z} (V_A \varepsilon) = 0$$

$$V_A = B / \sqrt{4\pi\rho(z)}$$

$$V_{Acc} \varepsilon_{\infty} = V_A(z) \varepsilon(z)$$

↓
Inflow I

$$I = v_A(z) \Sigma(z)$$

$$= v_{A00} \sqrt{\frac{\rho_0}{\rho(z)}} \Sigma(z)$$

$$\Rightarrow \Sigma(z) = \left(\rho(z)/\rho_0 \right)^{1/2} \Sigma_0$$

→ wave energy density increases in high density region

→ point is $v_{gr} \Sigma = \text{const}$

$v_{gr} = v_A$ & while $\rho \uparrow$, so Σ does increase

How about displacement?

- very roughly speaking:

as wave is linearization, and assumes/predicts certain phase relation,

→ linear wave theory valid for

$$|k \tilde{\xi}| < 1$$

↳ wave slope



If $k \tilde{\epsilon} \sim 1 \Rightarrow$ expect strongly nonlinear behavior, breaking, mixing etc.

$$\begin{aligned} \text{Now } \Sigma(z) &= 2 \frac{\rho}{\rho_0} \tilde{\epsilon}^2 \\ &= \rho(z) \omega^2 \tilde{\epsilon}^2 \end{aligned}$$

Now $\omega = \text{const}$

$$\begin{aligned} \underline{\text{so}} \quad - \quad \tilde{\epsilon}^2 &= \frac{1}{\rho(z) \omega^2} \left(\frac{\rho(z)}{\rho_0} \right)^{1/2} \Sigma_0 \\ &= \frac{1}{\sqrt{\rho(z) \rho_0}} \frac{\Sigma_0}{\omega^2} \end{aligned}$$

∴ displacement drops $\sim \rho(z)^{-1/4}$

as wave propagates into high density region.

but $-$ slope $S \sim |k \tilde{\epsilon}|$

$$k = \frac{\omega}{v_A} = \frac{\omega}{v_{A0}} \sqrt{\frac{\rho_0}{\rho(z)}}$$

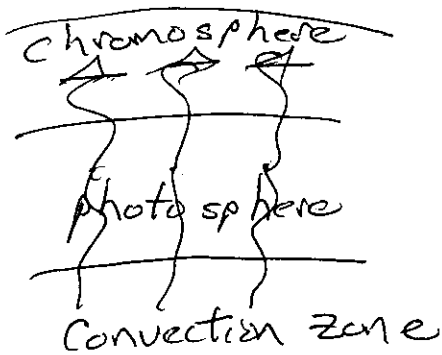
$$\underline{\text{so}} \quad |k \tilde{\xi}| \sim \frac{\omega}{v_{A0}} \sqrt{\frac{\rho(z)}{\rho_0}} \frac{(E_0)^{1/2}}{\omega} \frac{1}{(\rho(z)\rho_0)^{1/4}}$$

$$\sim \rho(z)^{1/4}$$

\Rightarrow wave slope increases in high density region, as v_A changes

\Rightarrow Nonlinearity increases

② Sound propagating in chromosphere



$\rho \sim e^{-z/H} \rightarrow$ density decreases with height

Sound waves emitted from convection zone (compressible convection) \rightarrow propagate into chromosphere

Take $T = \text{const} \Rightarrow c_s = \text{const.}$

Then $c_s E = \text{const.}$

$E(z) = \text{const.}$

and $k = \omega/c_s = \text{const.}$

$$\underline{\infty} \quad \rho \dot{\tilde{\xi}}^2 = \text{const}$$

$$\rho(z) \omega^2 \tilde{\xi}^2 = \text{const}$$

$$\Rightarrow \tilde{\xi} = \left(\xi_{\infty} / \rho(z) \omega^2 \right)^{1/2} \sim 1 / (\rho(z))^{1/2}$$

$$\text{as } k = \text{const}, \quad k \tilde{\xi} \sim 1 / (\rho(z))^{1/2}$$

\Rightarrow - wave displacement increases in chromosphere

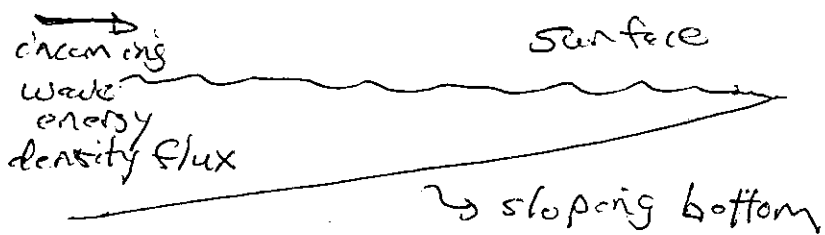
- sound wave simple \Rightarrow wave steepens and can shock

- physical picture is that of a whip \Rightarrow inertia at tip low, due tapering

- constitutes simple argument for chromospheric and possibly coronal heating by sound waves propagating from convection zone into upper layers.

③ The beach....

Consider:



$$H = H(x)$$

Now, in shallow water
($\lambda > H$)



$$\frac{\partial h}{\partial t} + \frac{\partial (vh)}{\partial x} = 0$$

$$\frac{\partial v}{\partial t} + v \frac{\partial v}{\partial x} = -g \frac{\partial h}{\partial x}$$

$$v = v_0 + \tilde{v}, \quad h = H + \tilde{h}$$

$$\Rightarrow \begin{aligned} -c\omega \tilde{h} + ckH \tilde{v} &= 0 \\ -c\omega \tilde{v} &= -ckg\tilde{h} \end{aligned}$$

$$\therefore \omega^2 = k^2 g H \quad \text{is dispersion relation}$$

\Rightarrow analogy with acoustics is obvious

$$\begin{aligned} h &\leftrightarrow \rho & c_s^2 &= gH \\ v &\leftrightarrow v & &\text{etc.} \end{aligned}$$

$$\frac{\partial \tilde{V}}{\partial t} = -g \frac{\partial \tilde{h}}{\partial x} \quad (1)$$

$$\frac{\partial \tilde{h}}{\partial t} = -H \frac{\partial \tilde{V}}{\partial x} \quad (2)$$

$$\Rightarrow (1) \times \tilde{V} + (2) \times \left(g \frac{\tilde{h}}{H} \right)$$

$$\therefore \frac{\partial \tilde{V}^2}{\partial t} = -g \tilde{V} \frac{\partial \tilde{h}}{\partial x}$$

$$\frac{g}{H} \frac{\partial \tilde{h}^2}{\partial t} = -\frac{gH}{H} \tilde{h} \frac{\partial \tilde{V}}{\partial x}$$

$$\therefore \frac{\partial}{\partial t} \left(\frac{\tilde{V}^2}{2} + \frac{g\tilde{h}^2}{2H} \right) + \frac{\partial}{\partial x} \left(g\tilde{h}\tilde{V} \right) = 0$$

is energy theorem

$$\Rightarrow \Sigma = \frac{\tilde{V}^2}{2} + \frac{g\tilde{h}^2}{2H} \text{ is wave energy density}$$

$$\omega/k = (gH)^{1/2} \text{ is wave phase velocity}$$

so ... so no explicit time dependence:

$$\frac{\partial \Sigma}{\partial t} + \nabla \cdot (v_{gr} \Sigma) = 0$$

$$\Rightarrow V_g(x) \Sigma(x) = V_\infty \Sigma_\infty = I$$

$$\therefore \sqrt{gH(x)} \Sigma(x) = I$$

\Rightarrow as $x \rightarrow$ shore, $V_g \uparrow$ so $\Sigma(x)$ must decrease

$$\Sigma(x) = \frac{\tilde{v}^2}{2} + g \frac{\tilde{h}^2}{2H} = \overline{\tilde{v}^2}$$

\rightarrow horizontal displacement

$$\tilde{v} = \frac{\partial \Sigma}{\partial t}$$

$$\Sigma(x) = \rho_0 \omega^2 \tilde{\epsilon}^2$$

and $\rho_0 \omega^2 = \text{const}$, here

$$\Rightarrow \tilde{\epsilon}_{rms} \sim \left(\frac{I}{\rho_0 \omega^2 \sqrt{gH(x)}} \right)^{1/2} \sim H(x)^{-1/4}$$

for profile $H(x)$, can deduce displacement profile

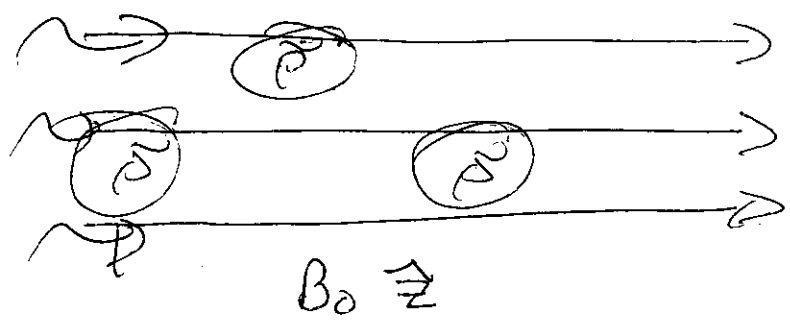
N.B. If $H = H(x, y)$, wavefronts align with bottom depth, via refraction.

$$\text{i.e. } \frac{dk}{dt} = -\frac{\partial \omega}{\partial x} = -\frac{\partial}{\partial x} \left[(gH(x, y))^{1/2} k \right]$$

④ Alfven Waves in Random Medium

Consider straight B_0 threading medium with space-time dependent inhomogeneities

$$\rho_0$$



i.e. $\rho_0 + \delta\rho$
with $\langle \delta\rho^2 \rangle_{q, \Omega}$ given

How does spectrum of Alfven waves evolve?

Assume: $|q| \ll |k|$
 $\Omega \ll |k v_A|$ } \rightarrow clear scale separation between scatterer and scatter-ee.
and weak inhomogeneities ($\delta\rho \ll \rho_0$)

What happens?

$$\frac{dx}{dt} = v_{gr} = v_A$$

$$\frac{dk}{dt} = - \frac{\partial}{\partial x} \cdot (k_{||} v_A)$$

$$v_A = \frac{B_0}{\sqrt{4\pi\rho}} \approx v_{A0} \left(1 - \frac{\rho_0}{2\rho_0} \right)$$

take $1/N$ for simplicity:

$$\frac{dz}{dt} = v_{A0} \left(1 - \frac{1}{2} \frac{\tilde{\rho}}{\rho_0} \right) = v_{A0} (1 - \delta\rho)$$

$$\begin{aligned} \frac{dk_z}{dt} &= - \frac{\partial}{\partial z} \left(k_z v_{A0} \left(1 - \frac{\tilde{\rho}}{2\rho_0} \right) \right) && \text{refraction} \\ &= \frac{\partial}{\partial z} \left(k_z v_{A0} \frac{\tilde{\rho}}{2\rho_0} \right) = \frac{\partial}{\partial z} k_z v_{A0} \delta\rho && \text{action} \end{aligned}$$

How does Alfvén spectrum respond to this?

\Rightarrow wave kinetics!

$$\frac{\partial N}{\partial t} + \underline{v}_{gr} \cdot \underline{\nabla} N - \frac{\partial \omega}{\partial x} \cdot \frac{\partial N}{\partial k} = 0$$

$$N = \Sigma(k, x) / \omega$$

$$\frac{\partial N}{\partial t} + v_{A0} (1 - \delta\rho) \hat{z} \cdot \nabla N + \frac{\partial}{\partial z} \left(k_z v_{A0} \delta\rho \right) \frac{\partial N}{\partial k_z} = 0$$

Now $\delta\rho$ is - random variable
- spectrum specified

∴ for trends, need average

⇒

$$\frac{\partial \langle N \rangle}{\partial t} + \left\langle V_{A0}(1-d\rho) \bar{z} \cdot \partial N \right\rangle + \left\langle \frac{\partial}{\partial z} (k_z V_{A0} d\rho) \frac{\partial N}{\partial k_z} \right\rangle = c$$

and average contributions will come from

$\langle d\rho \partial N \rangle$ type correlations.

∴ proceed in spirit of quasi-linear theory.

Using $\nabla_{\perp} \cdot \underline{V}_{\perp} = 0 \Rightarrow$

$$\frac{\partial \langle N \rangle}{\partial t} - \frac{\partial}{\partial z} \left\langle V_{A0} d\rho \partial N \right\rangle + \frac{\partial}{\partial k_z} \left\langle \frac{\partial (k_z V_{A0} d\rho)}{\partial z} \partial N \right\rangle = 0$$

where we have taken $\langle N \rangle$ indep. of z
(uniform beam).

Now, to calculate correlations $\langle d\rho \partial N \rangle$,

$\left\langle \frac{\partial d\rho}{\partial z} \partial N \right\rangle$, use linear response for ∂N

Linearizing WKE:

homogeneous background

$$\frac{\partial \delta N}{\partial t} + v_A \frac{\partial \delta N}{\partial z} = v_A \delta \rho \frac{\partial \langle N \rangle}{\partial z} - \frac{\partial (k_z v_A \delta \rho)}{\partial z} \frac{\partial \langle N \rangle}{\partial k_z}$$

\Rightarrow

$$-i(\Omega - 2v_A) \delta N_{\Omega, z} = -i \sum k_z v_A \delta \rho_{\Omega, z} \frac{\partial \langle N \rangle}{\partial k_z}$$

$$\therefore \delta N_{\Omega, z} = \frac{\sum k_z v_A \delta \rho_{\Omega, z}}{(\Omega - 2v_A)} \frac{\partial \langle N \rangle}{\partial k_z}$$

\Rightarrow

$$\frac{\partial \langle N \rangle}{\partial t} = \frac{\partial}{\partial k_z} D_{k_z} \frac{\partial \langle N \rangle}{\partial k_z} \quad \leadsto \text{quasi-linear diffusion equation for } \langle N \rangle$$

$$A_{k_z} = \sum_{\Omega, z} \Omega^2 k_z^2 v_A^2 |\delta \rho_{\Omega, z}|^2 \# \delta(\Omega - 2v_A)$$

$$= \sum_z \pi k_z^3 \Omega^2 v_A^2 |\delta \rho_{\Omega, z}|^2$$

resonance between

$$\frac{\Omega}{2} \text{ and } v_{gr} = v_A$$

stream field phase velocity \rightarrow packet group velocity.

Note:

- basic gist of answer to question is that random inhomogeneities diffuse $\langle N \rangle$ spectrum in k_z
- physics clear from treating eikonal equation as Langevin equation

$$\begin{aligned} \text{i.e.} \quad \frac{dk_z}{dt} &= -\frac{\partial}{\partial z} V_A(z) \\ &= -\frac{\partial}{\partial z} \left(V_0 \left(1 - \frac{1}{2} \frac{\delta^2}{\delta^2} \right) k_z \right) \end{aligned}$$

k_z in
 k_z due
inhomog.

$$\frac{dk_z}{dt} = V_{A0} k_z \frac{\partial}{\partial z} \delta^2$$

Stochastic
refraction

$$\Rightarrow \langle \delta k_z^2 \rangle \cong D t$$

$$D \cong V_{A0}^2 k_z^2 \left\langle \frac{\partial}{\partial z} \delta^2 \right\rangle^2 \tilde{\mathcal{N}}_c \rangle = D_{kz}$$

- what is $\tilde{\mathcal{N}}_c$?

\Rightarrow set by spectrum of inhomogeneities

c.e. here Ω , Ω independent

\leftrightarrow scatterers not waves \rightarrow width $\Delta\Omega$

$$\propto |\tilde{\rho}|_{\Omega, \Omega}^2 = |\tilde{\rho}(\Omega)|^2 \frac{\Delta\Omega}{\Omega^2 + (\Delta\Omega)^2}$$

then $\mathcal{T}_c = \min \left\{ \frac{1}{\Delta\Omega}, \frac{1}{\Delta\Omega v_A} \right\}$

contrast to usual case:

$$\frac{\partial \langle f \rangle}{\partial t} = \frac{\partial}{\partial V} \rho \frac{\partial \langle f \rangle}{\partial V}$$

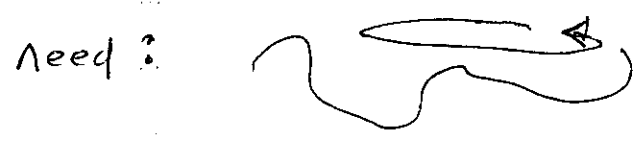
$$\rho = \sum_k \frac{q^2}{m^2} |E_k|^2 \pi \delta(\omega_k - kv)$$

and $\mathcal{T}_{cU} \sim |k(v_{ph} - v_{gr})|^{-1}$

\hookrightarrow dispersion time for eigenmode packet

- when is QLT applicable? - $\left\{ \begin{array}{l} \text{equivalent to asking} \\ \text{when valid to treat} \\ \text{problem as stochastic} \end{array} \right.$
- basically, $\textcircled{1}$ weak scattering
 $\textcircled{2}$ resonance overlap

most clearly seen in context of particle



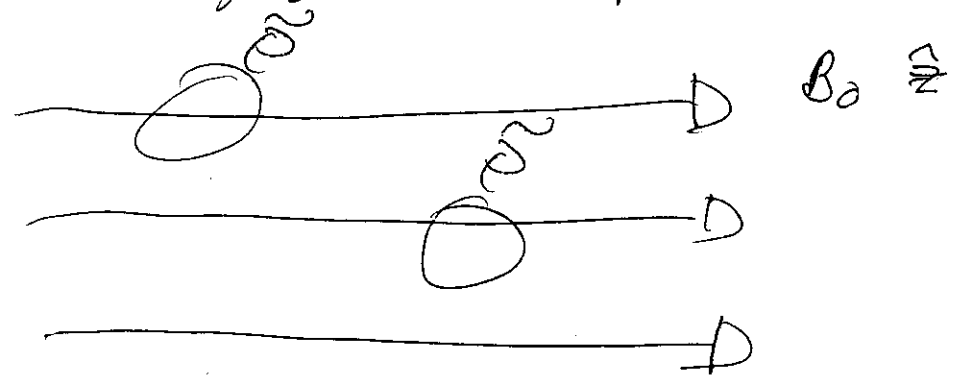
$$\gamma_{sc} < \gamma_{bounce}$$

$$1/\tau_{bounce} \sim k \left(\frac{g \phi}{m} \right)^{1/2}$$

so linearization valid.

→ What is the bottom line? ⇒ spectrum
spreads diffusively
 in particular, high kz 's generated.

⑤ Now, go one step further ----



c.i.e. waves
 Alfvén waves ⊕
 ion-acoustic waves

⇒ associate scattering field
 - not with randomly prescribed inhomogeneities

- rather, with a field of ion acoustic waves

so in 1D

→ high frequency, short wavelength Alfvén waves
and $\omega = k_z v_A$

→ low frequency, longer wavelength ion acoustic waves

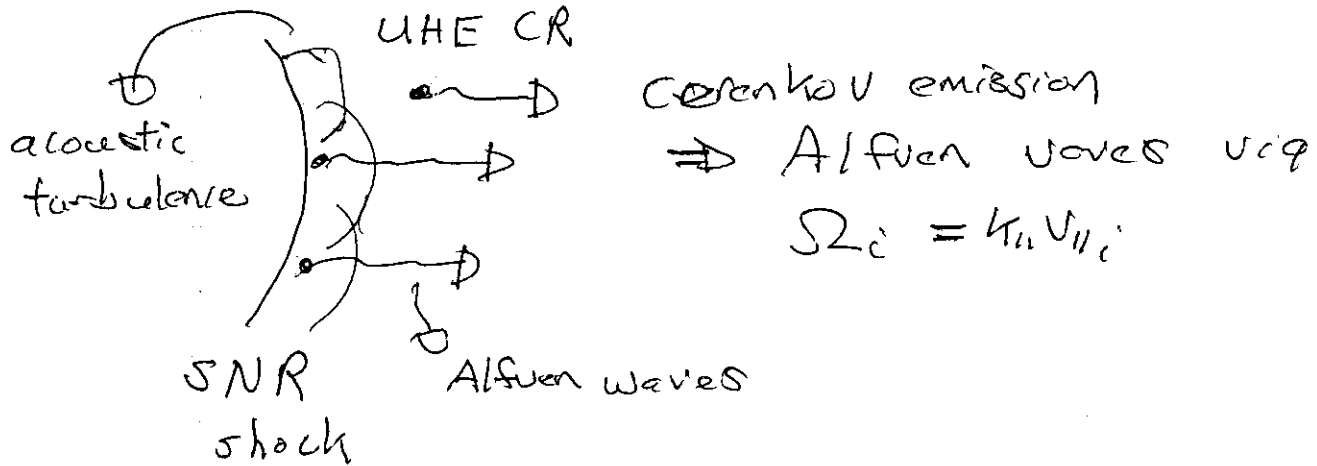
$$\Omega^2 = \frac{v_A^2 c_s^2}{1 + \frac{v_A^2}{c_s^2}}$$

↳ dispersion due
Debye screening

- N.B.
- this is really a 'nonlinear' problem (very similar to SRS, SBS, Langmuir turbulence)
 - but, using eikonal methods, can be treated with linear, quasi-linear methodology
 - the "hidden smallness parameter" is scale ratio

$$\frac{\Omega}{\omega} \ll 1, \quad \frac{v_A}{k_z} \ll 1$$

- what might this be useful for, apart from trial-by-trial?



so - have environment where spectrum of Alfven waves co-exists with spectrum of acoustic-type density perturbations.

- interaction could be relevant to process of CR acceleration

N.B. of course, it is a bit more complicated ...

\rightarrow What new feature enters here?

- eikonal games

- dynamical coupling of high and low frequency waves

⇒ effective 'pressure' of Alfvén waves
on acoustic wave!

⊕

⇒ refraction of Alfvén waves by
acoustic waves, as before

Now, in 1D, recall ion-acoustic wave
has:

$$\nabla^2 \tilde{\phi} = 4\pi n_0 |e| (\tilde{n}_i - \tilde{n}_e)$$

$$\frac{\tilde{n}_e}{n_0} = \frac{|e| \tilde{\phi}}{T_e} \quad (\omega \ll k v_{Te})$$

⇒ Boltzmann response

and for ions:

$$\frac{\partial n}{\partial t} + \frac{\partial (n v)}{\partial x} = 0$$

$$\frac{\partial v}{\partial t} + v \frac{\partial v}{\partial x} = \left(\frac{|e| E}{m n} \right) - \frac{1}{n m} \frac{\partial p}{\partial x}$$

$$E = -\partial \phi / \partial x$$

To make easier, treat as 1 fluid, with dispersion later added "by hand", with

⇒

$$\frac{\partial \rho}{\partial t} + \frac{\partial}{\partial x} (\rho v) = 0$$

$$\frac{\partial v}{\partial t} + v \frac{\partial v}{\partial x} = -\frac{1}{\rho} \frac{\partial p}{\partial x}$$

i.e. magnetic field irrelevant to parallel-to- B_0 acoustic wave.

now here, have: $P = P_{Th}$.

With Alfvén waves (and ID), let

$$P \rightarrow P_{Th} + P_{AW, \text{eff}}$$

n.b. for technical reasons, need weak dispersion in Alfvén waves

but $P_{AW, \text{eff}} = \sum_{AW} \omega^2 = k_{||}^2 v_A^2 / (1 + k^2 c^2 / \omega_{pi}^2)$

↳ energy density of Alfvén waves δ ignore till needed

$$= \int dk \omega_n N_n$$

↳ Action density of Alfvén waves.

so, in linear theory for acoustic wave:

$$\frac{\partial \tilde{\rho}}{\partial t} = -\rho_0 \frac{\partial \tilde{v}}{\partial x}$$

$$\frac{\partial \tilde{v}}{\partial t} = -\frac{1}{\rho_0} \frac{\partial}{\partial x} \left(\tilde{p} + \tilde{p}_{AW} \right)$$

$$\tilde{p} = \gamma \rho_0 \left(\tilde{\rho} / \rho_0 \right), \quad \tilde{p}_{AW} = \int dk \omega_k N_k \tilde{\rho}$$

⇒ from wave kinetic equation

$$\rho_0 \frac{\partial}{\partial t} \left(\frac{\partial \tilde{v}}{\partial x} \right) = -\frac{\partial^2}{\partial x^2} \left(\gamma \rho_0 \frac{\tilde{\rho}}{\rho_0} + \tilde{p}_{AW} \right)$$

$$\Rightarrow \frac{\partial^2}{\partial t^2} \tilde{\rho} = \frac{\partial^2}{\partial x^2} \left(\underbrace{\gamma \rho_0}_{\rho_0 \gamma} \tilde{\rho} + \tilde{p}_{AW} \right)$$

\downarrow
 c_s^2

Now, need calculate \tilde{p}_{AW} !

$$\frac{\partial N}{\partial t} + \underline{v}_{gr} \cdot \nabla N - \frac{\partial \omega}{\partial x} \frac{\partial N}{\partial k} = 0$$

and linearizing as before \Rightarrow

$$\frac{\partial \delta N}{\partial t} + v_A \frac{\partial \delta N}{\partial z} = - \frac{\partial}{\partial z} \left(k_z \frac{v_A \tilde{\rho}}{2\rho_0} \right) \frac{\partial \langle N \rangle}{\partial k}$$

\Rightarrow

$$\delta N_{\Omega, z} = \frac{z k_z v_A}{(\Omega - z v_A)} \left(\frac{\tilde{\rho}}{2\rho_0} \right)_{\Omega, z} \frac{\partial \langle N \rangle}{\partial k}$$

\therefore

$$-\Omega^2 \tilde{\rho}_{\frac{z}{\Omega}} = -z^2 \left(c_s^2 \tilde{\rho}_{\frac{z}{\Omega}} + \int dk_z (k_z v_A) \delta N_{\frac{\Omega}{z}} \right)$$

$$(\Omega^2 - z^2 c_s^2) \tilde{\rho}_{\frac{z}{\Omega}} = -z^2 \int dk_z (k_z v_A) \left(\frac{z k_z v_A / 2}{\Omega - z v_A} \frac{\tilde{\rho}_{\frac{z}{\Omega}} \partial \langle N \rangle}{\rho_0 z^2 \partial k} \right)$$

\Rightarrow

and convenient to write as

$$(\Omega^2 - g^2 c_s^2) \tilde{\rho}_{g,\Omega} = -g^2 \int dk_z \left[\frac{k_z v_A \langle N \rangle}{\rho_0} \right] \left(\frac{g k_z (v_A/2)}{\Omega - 2v_A} \right) \frac{1}{\langle N \rangle} \frac{\partial \langle N \rangle}{\partial k} \quad \text{res.}$$

$$\left\{ \begin{array}{l} E_g / \rho_0 \sim \frac{P_{\text{eff}}}{\rho_0} \end{array} \right.$$

⇒ have recovered a variant of Landau problem:

$$(\Omega^2 - g^2 c_s^2) = -g^2 \int dk_z \left(\frac{P_{\text{eff}}}{\rho_0} \right) \left(\frac{g k_z (v_A/2)}{\Omega - 2v_A} \right) \frac{1}{\langle N \rangle} \frac{\partial \langle N \rangle}{\partial k}$$

→ effective "radiation pressure" of Alfvén waves modifies acoustic mode

$$\rightarrow v_A = \Omega(g) / g \quad \text{resonance}$$

⇒ Landau-like growth/damping

→ key is $\left. \frac{\partial \langle N \rangle}{\partial k} \right|_{\text{res.}} \leftrightarrow \text{akin } \left. \frac{\partial F}{\partial v} \right|_{\text{res.}}$

Now, can proceed vice P.T. if $P_{\text{eff}}/P_{\text{th}} < 1 \Rightarrow$

$$(\Omega_0 + i\gamma) - \Sigma^2 C_s^2 = -\Sigma^2 \int dk_z \left(\frac{P_{\text{eff}}}{P_0} \right) \frac{q k_z (V_A/2)}{\Omega - 2V_A} \frac{1}{\langle N \rangle} \frac{\partial \langle N \rangle}{\partial k}$$

$$i 2 \Sigma C_s \gamma = \Sigma^2 \int dk_z \left(\frac{P_{\text{eff}}}{P_0} \right) \frac{q k_z V_A}{2} \pi \delta(\Omega - 2V_A) \frac{1}{\langle N \rangle} \frac{\partial \langle N \rangle}{\partial k}$$

$$\Rightarrow \gamma = \frac{\Sigma^2}{C_s} \left(\frac{P_{\text{eff}}}{P_0} \right) \frac{V_A}{4} \int dk_z k_z \pi \delta(\Omega - 2V_A) \frac{1}{\langle N \rangle} \frac{\partial \langle N \rangle}{\partial k}$$

???

- point here is that no way to resolve/understand singularity, as Alfven waves are non-dispersive!

- one solution: go outside MHD to introduce dispersion!

c.e. retaining Hall term \Rightarrow
(c.e. earlier comment)

$$\omega^2 = k_z^2 V_A^2 / (1 + k_z^2 d_s^2)$$

$$d_s^2 = c^2 / \omega_{pi}^2$$

∴ then have:

$$\gamma_2 = \frac{g^2}{c_s^2} \left(\frac{P_{eff}}{P_0} \right) \frac{V_A}{4} \int dk_z k_z \pi C'(\Omega - \Sigma V_{gr}(k)) \frac{1}{\langle N \rangle} \frac{\partial \langle N \rangle}{\partial k}$$

and resonant k identified! → proceed a la Landau

2 lessons:

→ population inversion, i.e. $\frac{\partial \langle N \rangle}{\partial k} > 0$, needed
for growth. Also resonance
to $\partial f / \partial v > 0$.

→ makes important point that non-dispersive waves all strained at same rate, so no Doppler dispersion

⇒ non-dispersive waves steeper → shocks, etc. in MHD

→ can compute $\langle N \rangle$ evolution a la QLT ,
 $\Omega(\Sigma)$ dispersion relevant.

"Simple" Shocks = Kinematic waves.

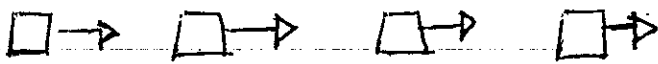
194.

Kinematic Waves and Shocks (Ex.: Traffic Flow)

i.) Motivation

Kinematic Waves

→ Consider 'ideal' highway i.e. $\left\{ \begin{array}{l} \text{no entrance, exits} \\ \text{1 lane, no collisions} \\ \text{etc.} \end{array} \right.$
with traffic flow



→ from conservation of cars, have (a continuum model)

$$\frac{\partial \rho}{\partial t} + \frac{\partial Q(x)}{\partial x} = 0$$

$\rho \equiv$ car density
 $Q \equiv$ car flux

now $Q(x) = \rho(x) V(x)$, where:

$V(x) \equiv$ continuum element velocity, i.e. car velocity

In kinematic wave picture $Q = Q(\rho)$,

i.e. → 1 field description of flow

→ contrast dynamic wave (e.g. gas dynamic shock) where additional evolution equation for V applies

then can re-write:

$$\frac{\partial \rho}{\partial t} + c(\rho) \frac{\partial \rho}{\partial x} = 0$$

↙ wave speed disturbance

$$c(\rho) = \frac{dQ/d\rho}{d\rho/d\rho} = V(\rho) + \rho V'(\rho)$$

- Now, some input from traffic-ological observations (simplified):

- $V(\rho)$ is decreasing function of ρ ; i.e. contrast sparsely used highway (caveat: continuum approximation) with rush-hour,

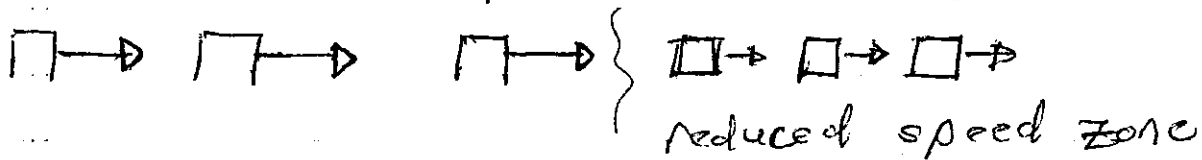
then $V'(\rho) < 0 \Rightarrow c(\rho) < V(\rho)$

traffic flow speed exceeds wave/disturbance speed.

\Rightarrow

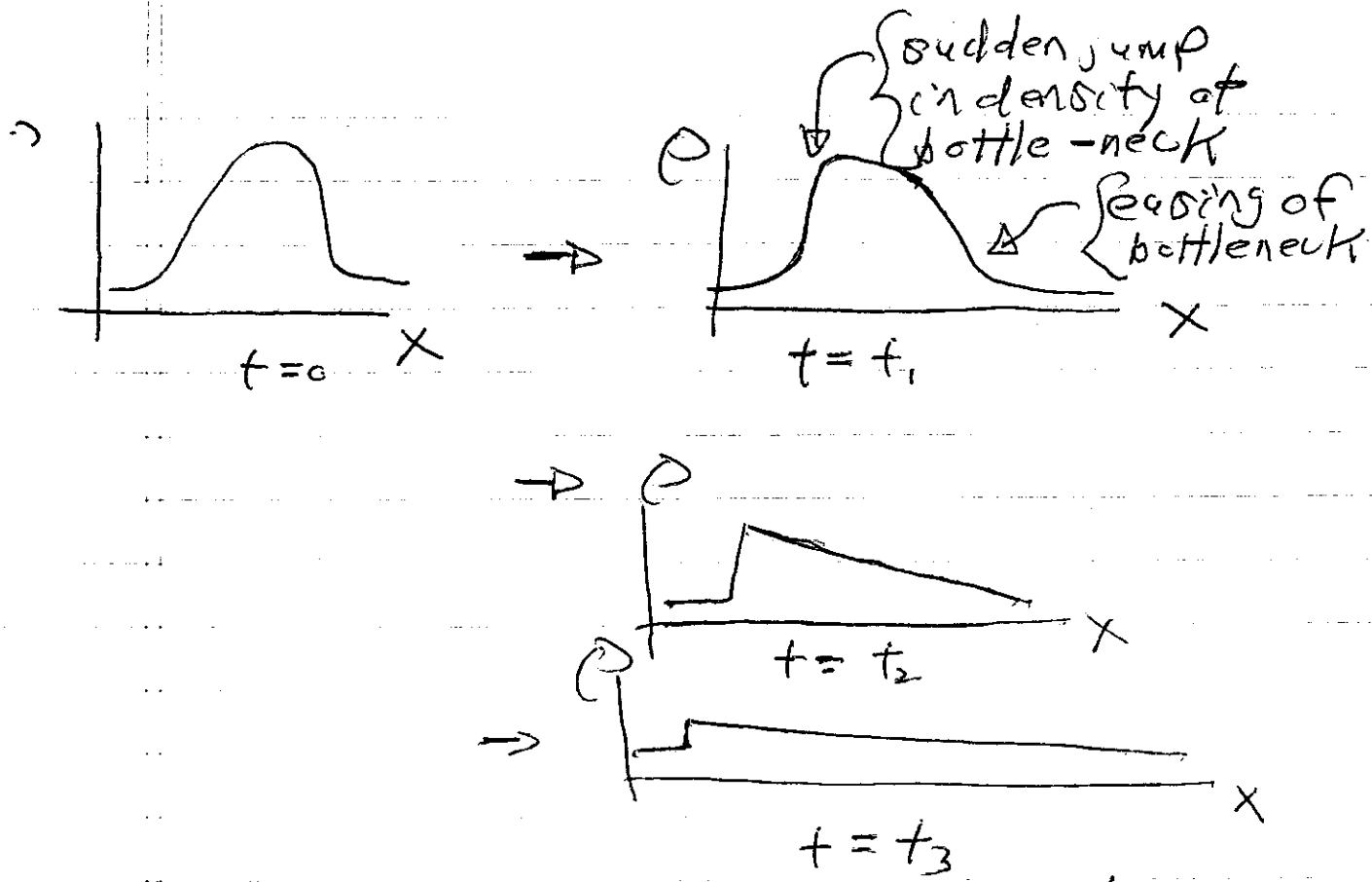
traffic 'overtakes' wave/disturbance from behind \Rightarrow bottleneck!

- ie. consider approach to bottle-neck:
 \rightarrow car overtakes congestion

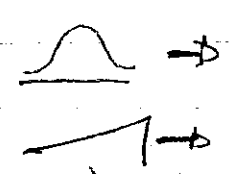


- rapid/sudden: drop in speed as arrive at zone of high density bottleneck
- crawl thru bottleneck
- accelerate when thru bottleneck \rightarrow density dropping

Can describe evolution of bottleneck pattern graphically also:



i.e. backward/rear-facing shock/discontinuity!

Contrast: $\left\{ \begin{array}{l} \text{backward shock: } \frac{dV}{d\rho} < 0 \\ \text{forward shock: } \frac{dV}{d\rho} > 0 \end{array} \right.$ 

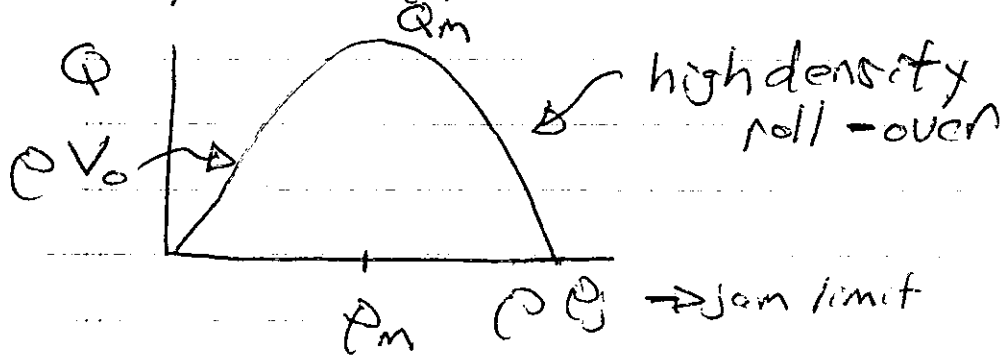
('usual' \rightarrow ala' gas dynamics)

• experience suggests possibility of formation of shock patterns in simple, kinematic waves

\leftrightarrow discontinuity dynamics as pattern problem

relate $Q(\rho)$ to microscopic parameters of traffic flow

- expect $Q(\rho)$ of form: $Q = \rho V(\rho)$

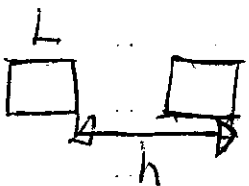


- in high density limit, can propose model:

→ assume car/driver need time σ to react to disturbance ahead

∴ inter-car spacing $V\sigma$ minimal for safety

→ if $L \equiv$ car length



$h \equiv$ headway → distance between fronts of adjacent cars

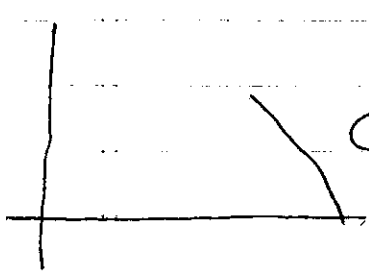
$$\therefore V\sigma = h - L, \quad V = \frac{1}{\sigma} (h - L)$$

now: $h = 1/\rho \rightarrow$ defn.

$L = 1/\rho_j \rightarrow$ 'bumper-to-bumper'

⇒

$$V = \frac{1}{\sigma} \left(\frac{1}{\rho} - \frac{1}{\rho_j} \right) \Rightarrow Q(\rho) = \frac{L}{\sigma} (\rho_j - \rho)$$



$$Q'(\rho) = -\frac{L}{\sigma}$$

$$Q' \sim -\frac{L}{\sigma} \sim \rho_j$$

↓
jam-up speed (jam accretion rate)

↓
slope set by car length and reaction time

Observations \leftrightarrow Single Lane :

$$\left\{ \begin{array}{l} \rho_m \sim 80 \text{ veh/mi} \\ \rho_j \sim 225 \text{ veh/mi} \end{array} \right.$$

$$Q_m \sim 1500 \text{ veh./mi}$$

$$V|_{\rho_m} \sim 20 \text{ mph}$$

high density moves most cars (tunnel)
low speed

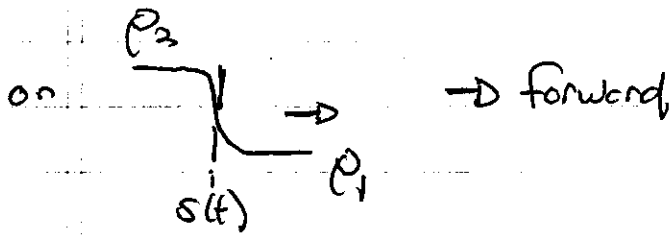
(ii) Shocks and Discontinuities in Kinematic Waves

$$\frac{\partial \rho}{\partial t} + \frac{\partial q}{\partial x} = 0$$

\rightarrow kinematic wave

Now, consider discontinuity at $S(t)$:

\rightarrow back



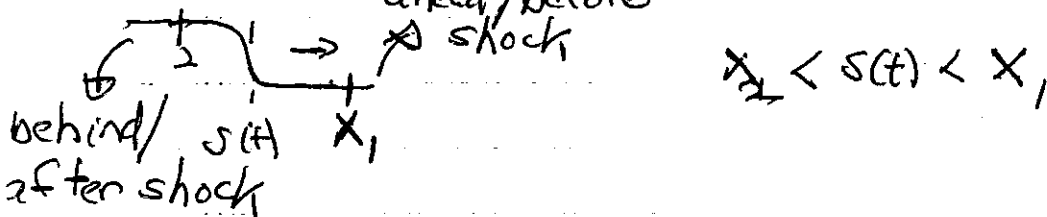
Issues:

- discontinuity speed
- ontogeny of discontinuities
- shock form

→ why

How shocks form

Now, consider prototypical discontinuity ahead/before



→ Central concept → conservation!

$$\frac{\partial \rho}{\partial t} + \frac{\partial q}{\partial x} = 0$$

so total content of $[X_2, X_1]$ conserved up to influx/outflux at end-points:

$$\Rightarrow \frac{d}{dt} \int_{X_2}^{X_1} \rho dx + \int_{X_2}^{X_1} \frac{\partial q}{\partial x} dx = 0$$

$$\therefore \frac{d}{dt} \int_{X_2}^{X_1} \rho dx = - (q(X_1, t) - q(X_2, t))$$

but

$$\int_{x_2}^{x_1} = \int_{x_2}^{s(t)} + \int_{s(t)}^{x_1}$$

$$\Rightarrow \dot{s}(t) \rho(s_-, t) - \dot{s}(t) \rho(s_+, t) + \int_{x_2}^{s(t)} \frac{\partial \rho}{\partial t} dx + \int_{s(t)}^{x_1} \frac{\partial \rho}{\partial t} dx + \mathcal{Q}(x_1, t) - \mathcal{Q}(x_2, t) = 0$$

(i.e. end points)

Now, shrink $[x_2, x_1] \rightarrow [s_-, s_+]$

$$\therefore \dot{s} (\rho(s_-, t) - \rho(s_+, t)) + \mathcal{Q}(s_-, t) - \mathcal{Q}(s_+, t) = 0$$

but $s_- \Rightarrow$ after shock, $\rho = \rho_2$, $\mathcal{Q}(s_-) = \mathcal{Q}(\rho_2)$
 $s_+ \Rightarrow$ before shock, $\rho = \rho_1$, $\mathcal{Q}(s_+) = \mathcal{Q}(\rho_1)$

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$$\dot{s} (\rho_2 - \rho_1) + \mathcal{Q}(\rho_2) - \mathcal{Q}(\rho_1) = 0$$

$$\Rightarrow \dot{s} = u = \frac{\mathcal{Q}(\rho_1) - \mathcal{Q}(\rho_2)}{\rho_1 - \rho_2}$$

↓
shock velocity

→ shock jump condition

→ independent of shock microstructure

→ consequence of conservation of mass

i.e. shock flux $u(\rho_1 - \rho_2)$ must balance convected matter flux difference.

note: $U = \frac{Q(\rho_1) - Q(\rho_2)}{(\rho_1 - \rho_2)}$

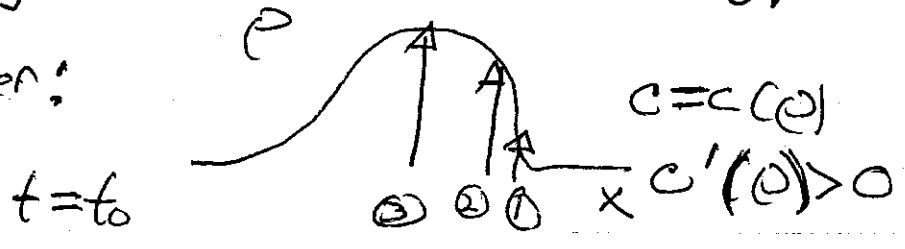
specifics discontinuity speed

generalization to dynamics \rightarrow Rankine-Hugoniot condition i.e. $[\rho]U = [Q]$

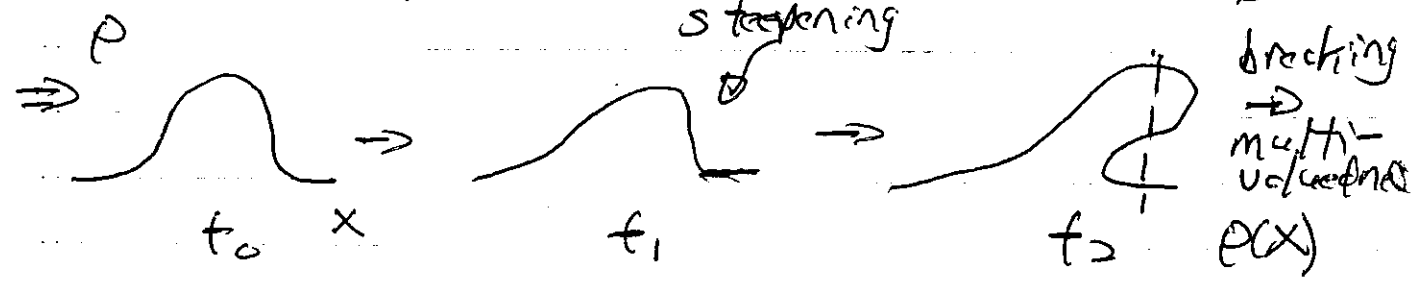
\rightarrow { Have established shock speed but!
Why do shocks/Discontinuities Form P!!

- steepening is consequence overtaking/breaking

i.e. consider:



$\Rightarrow c(\rho_3) > c(\rho_2) > c(\rho_1)$
 \Rightarrow ③ overtakes ② overtakes ①
steepening



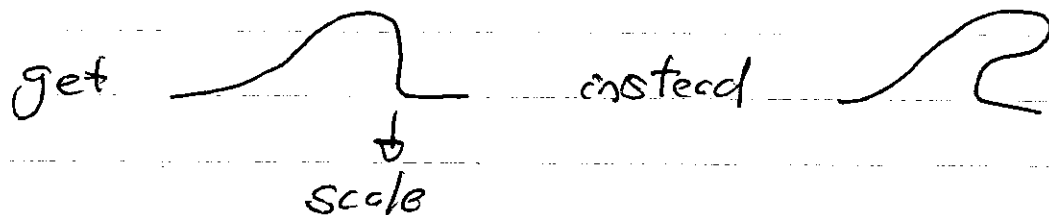
in actual physical system \Rightarrow
- multi-valuedness not allowed

i.e. $\frac{\partial \rho}{\partial t} + c(\rho) \frac{\partial \rho}{\partial x} = 0$

$\Rightarrow \frac{d\rho}{dt} = 0$ along characteristics $\frac{dx}{dt} = c(\rho)$

ie. can't pile two lumps on one another \rightarrow must locally conserve ρ .

- viscous/diffusive dissipation ^{ultimately} limits steepening



$$\rho \frac{\partial \rho}{\partial x} \sim \nu \frac{\partial^2 \rho}{\partial x^2} \Rightarrow L \sim \frac{\nu}{\rho}$$

\downarrow
 Δx of shock

- Now, can describe shock formation by:

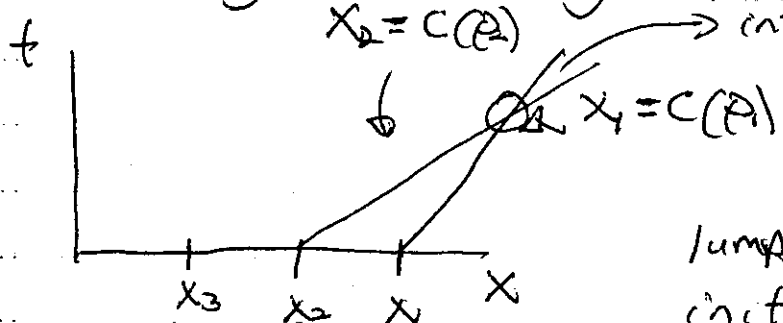
- \rightarrow identifying shock onset graphically
- \rightarrow 'fitting' shock solution to breaking analytically

Kinematic wave (without micro-details)

\rightarrow Shock Onset

a.) identifying it!

- breaking \leftrightarrow crossing of characteristics \rightarrow intersection \rightarrow breaking



lumps ρ_1, ρ_2 at x_1, x_2
initially move $c(\rho_1), c(\rho_2)$

$$\left\{ \begin{aligned} \frac{\partial \rho}{\partial t} + c(\rho) \frac{\partial \rho}{\partial x} &= 0 \\ \frac{dx}{dt} &= c(\rho) \end{aligned} \right. \quad c(\rho_1) < c(\rho_2) \Rightarrow \frac{dt}{dx} \Big|_1 > \frac{dt}{dx} \Big|_2$$

- criteria for breaking

overtaking $\Rightarrow \frac{dc}{dx} < 0 \Rightarrow$ "compressiveness"
 $\hookrightarrow \frac{d}{d\varepsilon} \left(\frac{1}{c(\varepsilon)} \right) > 0 \rightarrow$ char. diagram.

$$\frac{dc}{d\rho} \frac{\partial \rho}{\partial x} < 0$$

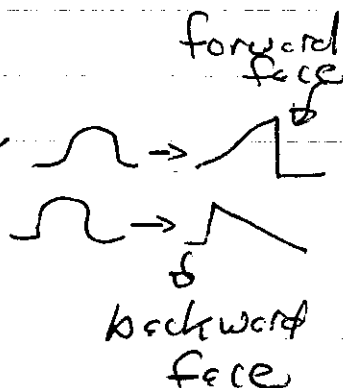
$$\downarrow \quad \downarrow$$

$$> 0 \quad < 0$$

$$< 0 \quad > 0$$

\rightarrow forward shock

\rightarrow backward shock



Analytically;

$$\frac{dx}{dt} = c(\rho) = F(\varepsilon)$$

then for characteristic intersection:

$$X = \varepsilon + F(\varepsilon)t$$

$$X = (\varepsilon + \delta\varepsilon) + (F(\varepsilon + \delta\varepsilon)t)$$

} crossing of neighboring characteristics

$$\Rightarrow 0 = \delta\varepsilon + \delta\varepsilon F'(\varepsilon)t$$

$$\therefore 0 = 1 + F'(\varepsilon)t$$

$$X = \varepsilon + F(\varepsilon)t$$

} breaking condition

can specify breaking time $t_B = -1/F'(\varepsilon)$

i.e. \Rightarrow steeper initial profile breaks faster! (AADFCR)

Note: Interesting example: Damped Burgers eqn.
(i.e.: breaking time)

$$\frac{\partial \rho}{\partial t} + \rho \frac{\partial \rho}{\partial x} + \alpha \rho = 0$$

ρ
 damping

contrast
viscous
functional form
matters...

$$\therefore \frac{d\rho}{dt} = -\alpha \rho, \quad \frac{dx}{dt} = \rho$$

$$\Rightarrow \rho = e^{-\alpha t} f(\xi)$$

$$\therefore \frac{dx}{dt} = e^{-\alpha t} f(\xi)$$

\rightarrow cut-off at α^{-1}

$$\Rightarrow x = \xi + \left(\frac{1 - e^{-\alpha t}}{\alpha} \right) f(\xi)$$

Contrast:

$$x = \xi + F(\xi) t$$

down-history multi-
valuedness

To check breaking: t_B finite? $\Rightarrow \frac{\partial x(\xi)}{\partial \xi} = 0$

$$0 = 1 + \left(\frac{1 - e^{-\alpha t}}{\alpha} \right) f'(\xi)$$

monotone decreasing
 \downarrow

$$\left(-1 = \left(\frac{1 - e^{-\alpha t}}{\alpha} \right) f'(\xi) \right)$$

\Rightarrow need: $f'(\xi) < -\alpha$ for breaking

note: - steepness must generate breaking faster than dissipation sucks out energy

- contrast $\propto \alpha \rightarrow$ operates on all scale

$\propto \nu k^2 \rightarrow$ effective only on small scale, after

Describing Discontinuity: Shock Fitting. 2 approaches

Steepening occurs, conservation - jump cond. \rightarrow speed

dynamics - characteristic \rightarrow local evolv.

- How 'Fit' discontinuous shocks, satisfying:

$$u = \frac{Q(\rho_2) - Q(\rho_1)}{(\rho_2 - \rho_1)}$$

\rightarrow have, from conservation

into continuous solution:

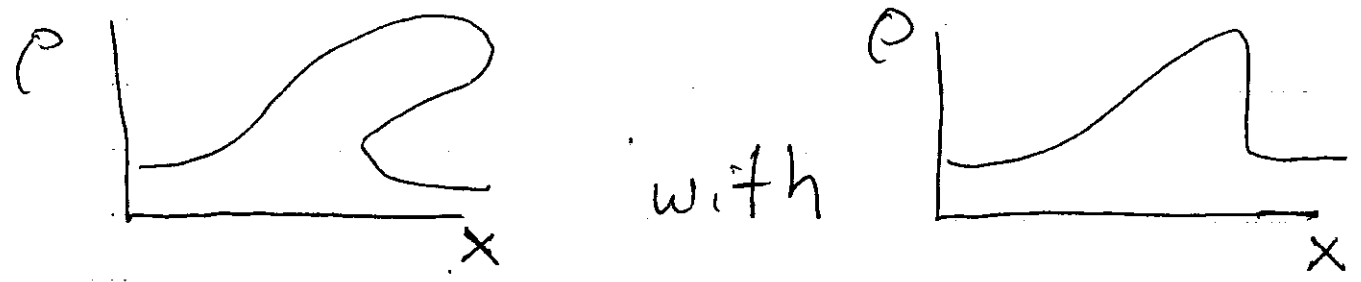
$$0 = F(\epsilon)$$

$$x = \epsilon + F(\epsilon) \quad \begin{matrix} \uparrow \uparrow \\ 0 \quad 0 \end{matrix}$$

\rightarrow have, from characteristics

speed, critical

c.e. \rightarrow as double-valued solutions not allowed (conservation, etc.), how replace?



without consideration of ν -details of dissipation?

\rightarrow how resolve singularity of inviscid pblm?

→ as example, first consider quadratic $Q(\rho)$:

$$Q = Q(\rho_0) + Q'(\rho_0)(\rho - \rho_0) + \frac{1}{2} Q''(\rho_0)(\rho - \rho_0)^2$$

$$Q = \alpha \rho^2 + \beta \rho + \gamma$$

$$C(\rho) = 2\alpha \rho + \beta$$

then $U = \frac{\alpha(\rho_2^2 - \rho_1^2) + \beta(\rho_2 - \rho_1)}{\rho_2 - \rho_1} = \frac{1}{2}(C_1 + C_2)$

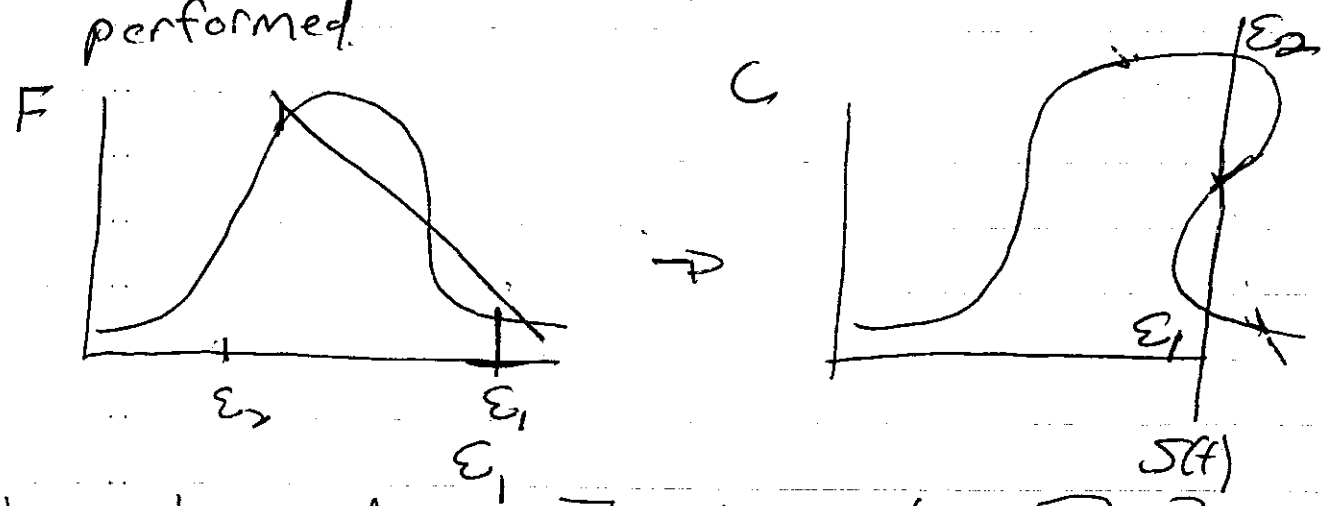
↓ shock speed to fit

must equal

$$= \frac{1}{2}(F(\epsilon_1) + F(\epsilon_2))$$

where $C = F(\epsilon)$
 $X = \epsilon + F(\epsilon) \Rightarrow$ (how choose ϵ_1, ϵ_2)

d.e $U = \frac{1}{2}(F(\epsilon_1) + F(\epsilon_2))$ is fit to be performed.



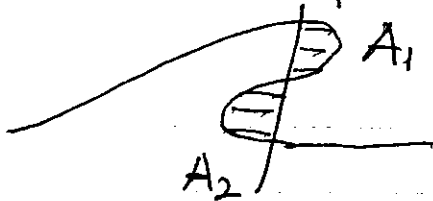
d.e where draw \mathcal{L} to replace \mathcal{Z} \int_0^1

Answer: Also Maxwell construction \Rightarrow equal area construction (Generic)

- observe both multi-valued curves } conserve
discontinuity

mass

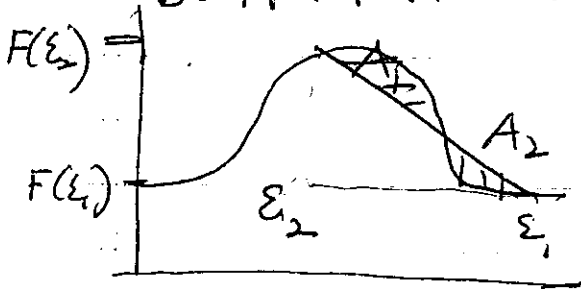
1. discontinuity must satisfy equal area construction



$A_1 = A_2$ if replace by:



back in time:



Area under straight line = Area under curve

(diffnce: $A_{\text{line}} - A_{\text{curve}} = A_2 - A_1$)

geometrically:

(tri.)

(rect.)

$$\frac{1}{2} (\epsilon_1 - \epsilon_2) (F(\epsilon_2) - F(\epsilon_1)) + F(\epsilon_1) (\epsilon_1 - \epsilon_2)$$

$$= \int_{\epsilon_2}^{\epsilon_1} F(\epsilon) d\epsilon$$

\Rightarrow

$$\frac{1}{2} (\epsilon_1 - \epsilon_2) (F(\epsilon_1) + F(\epsilon_2)) = \int_{\epsilon_2}^{\epsilon_1} F(\epsilon) d\epsilon$$

so equal area fitting accomplished by:

$$\left\{ \begin{array}{l} S(t) = \varepsilon_1 + F(\varepsilon_1)t \\ S(t) = \varepsilon_2 + F(\varepsilon_2)t \\ \text{and} \\ \frac{1}{2} (F(\varepsilon_1) + F(\varepsilon_2)) (\varepsilon_1 - \varepsilon_2) = \int_{\varepsilon_2}^{\varepsilon_1} F(\varepsilon) d\varepsilon \end{array} \right.$$

determines $S(t)$, ε_1 , ε_2 .

Check for Quadratic $Q(p)$ case \bullet
(see 95)

"ere, fit eqns are

$$S(t) = \varepsilon_1 + F(\varepsilon_1)t$$

$$S(t) = \varepsilon_2 + F(\varepsilon_2)t$$

$$\dot{S}(t) = \frac{1}{2} (F(\varepsilon_1) + F(\varepsilon_2))$$

Now, first two \Rightarrow

$$t = - (\varepsilon_1 - \varepsilon_2) / (F(\varepsilon_1) - F(\varepsilon_2))$$

diffnt. first two \Rightarrow

$$\dot{S} = (1 + t F'(\varepsilon_1)) \dot{\varepsilon}_1 + F(\varepsilon_1)$$

$$\dot{S} = (1 + t F'(\varepsilon_2)) \dot{\varepsilon}_2 + F(\varepsilon_2)$$

$$\Rightarrow S(\epsilon) = \frac{1}{2} (F(\epsilon_1) + F(\epsilon_2)) + \frac{1}{2} \left(\frac{F'(\epsilon_1) + F'(\epsilon_2)}{2} (\epsilon_1 + \epsilon_2) \right)$$

(avg.)

plugging in t and substituting into $\delta = (c_1 + c_2)/2$

$$\Rightarrow \frac{1}{2} (F'(\epsilon_1) \dot{\epsilon}_1 + F'(\epsilon_2) \dot{\epsilon}_2) (\epsilon_1 - \epsilon_2) + \frac{1}{2} (F(\epsilon_1) + F(\epsilon_2)) (\dot{\epsilon}_1 - \dot{\epsilon}_2) = F(\epsilon_1) \dot{\epsilon}_1 - F(\epsilon_2) \dot{\epsilon}_2$$

\Rightarrow integrating:

$$\left[\frac{F(\epsilon_1) + F(\epsilon_2)}{2} \right] (\epsilon_1 - \epsilon_2) = \int_{\epsilon_2}^{\epsilon_1} F(\epsilon) d\epsilon$$

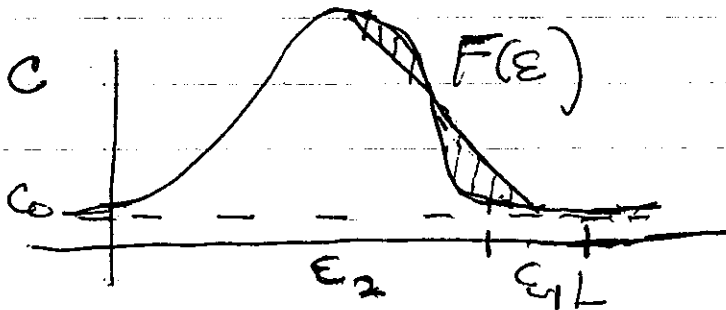
(const = 0 as $\epsilon_1 = \epsilon_2$ sol'n.)

(equal area constr.) ✓

Thus, equal area construction condition "fits" shock.

Shock Fit and Evolution of Single Hump

$$\begin{cases} c = F(\varepsilon); & 0 < \varepsilon < L & F(\varepsilon) > c_0 \\ c = c_0; & \text{elsewhere} \end{cases}$$



$$\begin{aligned} \int_{\varepsilon_2}^{\varepsilon_1} (F(\varepsilon) - c_0) d\varepsilon &= \underbrace{(\varepsilon_1 - \varepsilon_2)}_{\text{rectangle}} \left(\underbrace{F(\varepsilon_2) - F(\varepsilon_1)}_{\text{triangle}} \right) \\ &+ (F(\varepsilon_1) - c_0)(\varepsilon_1 - \varepsilon_2) \\ &= \frac{1}{2} (F(\varepsilon_1) + F(\varepsilon_2) - 2c_0) (\varepsilon_1 - \varepsilon_2) \end{aligned}$$

⇒ equal area construction is:

$$\begin{aligned} \frac{1}{2} (F(\varepsilon_1) + F(\varepsilon_2) - 2c_0) (\varepsilon_1 - \varepsilon_2) \\ = \int_{\varepsilon_2}^{\varepsilon_1} (F(\varepsilon) - c_0) d\varepsilon \end{aligned}$$

Now, as time progresses $F(\varepsilon_1) = c_0$, as $\varepsilon_1 \rightarrow L$ (shock moves into c_0 region) ⇒

There:

$$\frac{1}{2} (F(\varepsilon_1) - c_0) (\varepsilon_1 - \varepsilon_2) = \int_{\varepsilon_2}^{\varepsilon_1} (F(\varepsilon) - c_0) d\varepsilon$$

and shock condition \Rightarrow

$$S(t) = \varepsilon_2 + F(\varepsilon_2) t$$

$$S(t) = \varepsilon_1 + F(\varepsilon_1) t$$

$\rightarrow \rightarrow c_0$

$$\Rightarrow 0 = (\varepsilon_1 - \varepsilon_2) - (F(\varepsilon_1) - c_0) t$$

$$(\varepsilon_1 - \varepsilon_2) / (F(\varepsilon_1) - c_0) = t$$

is

$$\frac{1}{2} (F(\varepsilon_1) - c_0)^2 t = \int_{\varepsilon_2}^{\varepsilon_1} (F(\varepsilon) - c_0) d\varepsilon$$

taking $\varepsilon_2 \rightarrow 0$
(\sim shock)

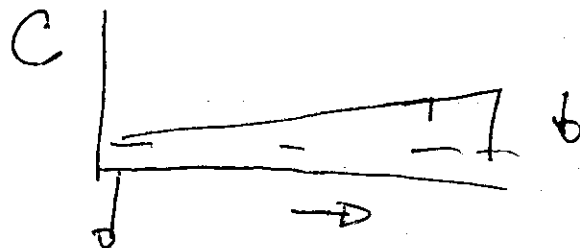
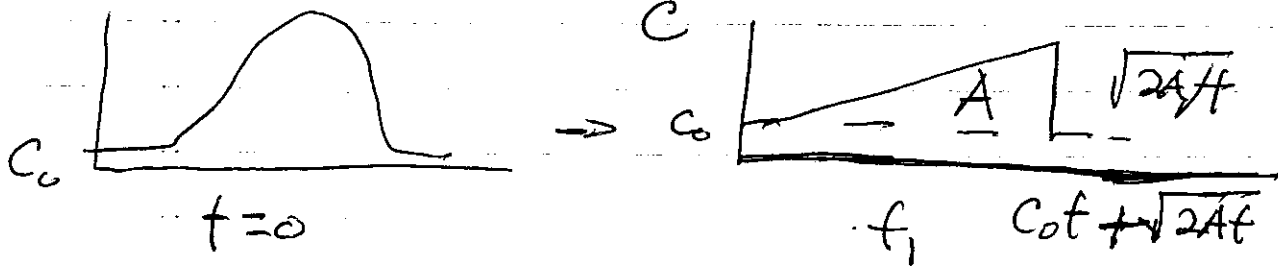
$\sim A \rightarrow$ area hump above c_0
(for $\varepsilon_2 \rightarrow 0$)

$$\left\{ \begin{array}{l} F(\varepsilon_2) = c_0 + \sqrt{\frac{2A}{t}} ; \quad S = (\varepsilon_2 + F(\varepsilon_2) t) \Big|_{\varepsilon_2} \\ c = c_0 + \sqrt{\frac{2A}{t}} \quad \sim c_0 + \sqrt{2At} \end{array} \right.$$

Thus, hump evolves to triangle, with area conserved:

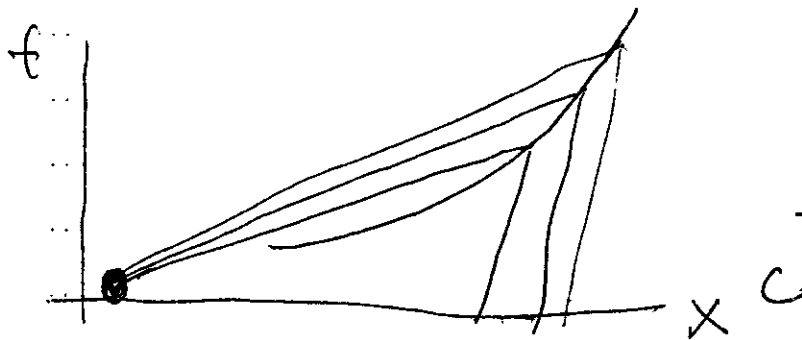
→ triangle base extends $\sim \sqrt{2At}$
 height drops $\sim \sqrt{2A/t}$

$$\begin{cases} S \sim \sqrt{2At} = ct \\ S^2 \sim 2At \end{cases}$$



if $C_0 = 0$, no motion of ft point?

if examine characteristics for triangular wave:



shock
 → curve periodic

$$t \sim S^2/2A$$

→ shock strength

$$\sim \sqrt{2At} + C_0$$

dropping $\sim 1/t$

Can also consider → N-wave

→ sinusoidal ic.

→ confluence (2 shocks merge)